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Article

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**GOTO, Takenori**

Group Art Unit: **2815**

Serial No.: **09/888,419**

Examiner: **NGUYEN, Joseph H.**

Filed: **June 26, 2001**

P.T.O. Confirmation No.: **9498**

For: **NITRIDE BASED SEMICONDUCTOR LASER DEVICE AND METHOD OF FABRICATING THE SAME**

**INFORMATION DISCLOSURE STATEMENT AND STATEMENT**  
**PURSUANT TO 37 CFR 1.97(d)**

**Attn: Group Director**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 15, 2003

TECHNOLOGY CENTER 2800  
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PTO-17  
10-2-03

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

The undersigned hereby certifies

XX that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Sadao Kinashi  
Attorney for Applicant  
Reg. No. 48,075

SK/fs  
Atty. Docket No. **010802**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and 2 references  
Japanese Office Action dated July 8, 2003 (with English translation)



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 010802 Applicant(s): GOTO, Takenori Filing Date: June 26, 2001	Serial No. 09/888,419 Group Art Unit: 2815
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#### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

#### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
_____	AF 6-79172 (U)	11/4/1994	Japan	Yes (Partial)
_____	AG 11-284282	10/15/1999	Japan	Yes (Abstract)
_____	AH			
_____	AI			
_____	AJ			

#### OTHER DOCUMENTS

_____	AK	
_____	AL	
Examiner	Date Considered	